



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barkalow et al.
Appl. No.: 10/036,681
Conf. No.: 4991
Filed: November 8, 2001
Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF
MAKING SAME
Art Unit: 1615
Examiner: H. Sheikh
Docket No.: 112703-198

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|-------------------|--------------------|
| 4,108,978 | August 22, 1978 | Mazzanobile et al. |
| 5,354,551 | October 11, 1994 | Schmidt |
| 5,384,124 | January 24, 1995 | Courteille et al. |
| 5,700,478 | December 23, 1997 | Biegajski et al. |

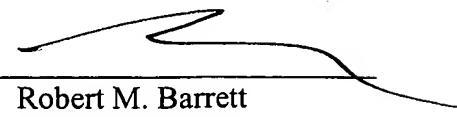
FOREIGN PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------------|----------------|
| JP 02-059513 | February 28, 1990 | Japan |
| EP 1 008 343 | April 15, 1999 | Europe |
| WO 98/11867 | March 26, 1998 | PCT |
| WO 00/45794 | August 10, 2000 | PCT |

Respectfully submitted,

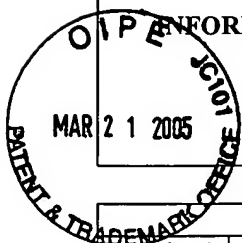
BELL, BOYD & LLOYD LLC

BY

A handwritten signature in black ink, appearing to read 'Robert M. Barrett', is written over a horizontal line.

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Dated: March 16, 2005



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| INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449 | Atty Docket No. 112703-198 | Application No. 10/036,681 |
| | Applicant S. Barkalow et al. | |
| | Filing Date November 8, 2001 | Group 1615 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|------------------------|--|--------------------|---------------------|--------------------|-------|----------|----------------------------------|
| Examiner's Initials | | Document Number | Publication Date | Inventor | Class | Subclass | Filing Date If Appropriate |
| | | 4,108,978 | August 22, 1978 | Mazzanobile et al. | | | |
| | | 5,354,551 | October 11, 1994 | Schmidt | | | |
| | | 5,384,124 | January 24, 1995 | Courteille et al. | | | |
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|--------------------------|--|--------------------|---------------------|---------|-------|----------|-------------|----|
| Examiner's Initials | | Document Number | Publication Date | Country | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | | JP 02-059513 | February 28, 1990 | Japan | | | | |
| | | EP 1 008 343 | April 15, 1999 | Europe | | | | |
| | | WO 98/11867 | March 26, 1998 | PCT | | | | |
| | | WO 00/45794 | August 10, 2000 | PCT | | | | |
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| Examiner's Initials | | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
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| Examiner: | Date Considered: |
| *Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENTDocket No.
112703-198

Under 37 CFR 1.97(b) or 1.97(c))

In Re Application Of: Barlow et al.

MAR 21 2005

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|-----------|--------------|----------------|------------------|
| 10/036,681 | November 8, 2001 | H. Sheikh | 29156 | 1615 | 4991 |

Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING SAME

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112703-198

In Re Application: **Barkalow et al.**

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|------------------|-----------|--------------|----------------|------------------|
| 10/036,681 | November 8, 2001 | H. Sheikh | 29156 | 1615 | 4991 |

Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING SAME**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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March 16, 2005

(Date)


Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: **March 16, 2005**

Signature

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